



5-14-04

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PATENTS
8201/SYNX/JB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michael R. Rice, Eric A. Englhardt, Vinay Shah, Martin R. Elliott, Robert B. Lowrance and Jeffrey C. Hudgens

Serial No. : 10/764,620

Filed : January 26, 2004

For : SYSTEMS AND METHODS FOR TRANSFERRING SMALL LOT SIZE SUBSTRATE CARRIERS BETWEEN PROCESSING TOOLS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following reference:

U.S. Patent No. 6,283,692 B1, Perlov et al.

This reference is also listed on the accompanying
Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this
patent application is respectfully requested.

Respectfully Submitted,



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Attorneys for Applicants
(914)332-9081

Dated: 5/2/04
Tarrytown, New York

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Inventor(s): Michael R. Rice, Eric A. Englhardt, Vinay Shah, Martin R. Elliott, Robert B. Lowrance and Jeffrey C. Hudgens
 Title: SYSTEMS AND METHODS FOR TRANSFERRING SMALL LOT SIZE SUBSTRATE CARRIERS BETWEEN PROCESSING TOOLS
 Serial No.: 10/764,620
 Filed: January 26, 2004

Transmitted herewith is:

- PTO Form 1449 and Information Disclosure Statement with one cited reference.
 Return Postcards

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$84.00	\$0.00
Basic Filing Fee				\$740.00	\$0.00
TOTAL FEES					\$0.00

- The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

Please address all future correspondence to:

PATENT COUNSEL
APPLIED MATERIALS, INC.
Legal Affairs Department
P.O.BOX 450A
Santa Clara, CA. 95052

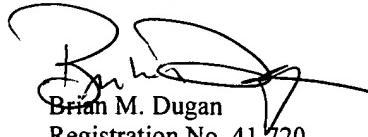
I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Signature: 

Respectfully submitted,



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U.S. Department of Commerce, Patent and Trademark Office				Docket No.: 8201/SYNX/JB	Serial No.: 10/764,620			
LIST OF RELEVANT ART CITED BY APPLICANT (Use several sheets if necessary)				Applicants: Michael R. Rice, et al.				
				Filing Date: January 26, 2004	Group: _____			
U.S. Patent Documents								
*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-1	6,283,692 B1	09/04/01	Perlov et al.				
	US-2							
	US-3							
	US-4							
	US-5							
	US-6							
	US-7							
	US-8							
	US-9							
	US-10							
	US-11							
Foreign Patent Documents							Translation	
		Document Number	Date	Country	Class	Subclass	Yes	No
	F-1							
	F-2							
	F-3							
	F-4							
	F-5							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	OT-1							
	OT-2							
	OT-3							
Examiner		Date Considered						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								